Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

Mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10579725	
	Filing Date		2006-05-17	
INFORMATION DISCLOSURE	First Named Inventor	BENE	EIVENNI, Marco	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1747	
( Not lot submission under or or K 1.00)	Examiner Name No		YEN, Phu Hoang	
	Attorney Docket Number		20545-0506	

			Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5284164		1994-02-08	ANDREWS, et al.	
	2	5695070		1997-12-09	DRAGHETTI, Fiorenzo	
	3	4363235		1982-12-14	VULLIENS, et al.	
	4	3741846		1973-06-26	GREVE, Heinz	
	5	5044379		1991-09-03	CAHILL, et al.	
	6	6681918		2004-01-27	WAHLE, Jan	
	7	6813961		2004-11-09	STILLER, et al.	
	8	5116298		1992-05-26	BONDANELLI, et al.	

( Not for submission under 37 CFR 1.99)

Application Number		10579725		
Filing Date		2006-05-17		
First Named Inventor	BENE	IVENNI, Marco		
Art Unit		1747		
Examiner Name NGUY		/EN, Phu Hoang		
Attorney Docket Number		20545-0506		

	9	5	209249		1993-05	5-11	NERI, Armand	o		
	10	7	684889		2010-03	3-23	FOCKE, et al.			
	11	6	516811		2003-02	2-11	FOCKE, et al.			
	12 3946212			1976-03	3-23	NAKAO, et al.				
	13	6	629397		2003-10	)-07	FOCKE, et al			
If you wis	h to ad	ld a	dditional U.S. Pater	t citatio	n inform	ation pl	ease click the	Add button.		Add
				U.S.P.	ATENT	APPLI	CATION PUBL	ICATIONS		Remove
Examiner Initial*	Cite N	No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ation	Name of Pate of cited Docu	entee or Applicant ment	Relev	es,Columns,Lines where vant Passages or Relevant es Appear
	1		20010049568		2001-12	2-06	FOCKE, et al.			
	2		20040015383		2004-01	l-22	RATHJEN, et a	al.		
If you wis	h to ad	ld a	l dditional U.S. Publis	hed Ap	plication	n citatio	ı n information p	lease click the Add	d butto	on. Add
				<u> </u>	-		ENT DOCUM			Remove
Examiner Initial*	niner Cite Foreign Document Country Kind		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			

( Not for submission under 37 CFR 1.99)

Application Number		10579725		
Filing Date		2006-05-17		
First Named Inventor	BENE	EIVENNI, Marco		
Art Unit		1747		
Examiner Name NGUY		YEN, Phu Hoang		
Attorney Docket Number		20545-0506		

1	0500302	EP	1992-08-26	ADKINS, et al.	
2	3917606	DE	1989-12-14	WOCHNOWSKI, Waldemar	
3	2017360	DE	1971-10-28	GREVE, Heinz	×
4	10117082	DE	2002-10-09	WAHLE, Jan	×
5	1197431	EP	2002-04-17	FOCKE, et al.	×
6	0409443	EP	1991-01-23	BONDANELLI, et al.	×
7	3925073	DE	1990-02-01	NERI, Armondo	×
8	10209753	DE	2003-09-18	FOCKE, et al.	×
9	10046133	DE	2002-03-28	RATHJEN, et al.	×
10	19914297	DE	2000-10-05	FOCKE, et al.	×
11	1346651	EP	2003-09-24	DRAGHETTI, et al.	

( Not for submission under 37 CFR 1.99)

Application Number		10579725		
Filing Date		2006-05-17		
First Named Inventor	BENE	IVENNI, Marco		
Art Unit		1747		
Examiner Name NGUY		/EN, Phu Hoang		
Attorney Docket Number		20545-0506		

	12	2001525	5586	JP		2001-12-11	FOCKE et aL		×	
	13	200003	7181	JP		2000-08-02	FUCHIGAMI, et al.			
If you wisl	h to ac	dd additi	onal Foreign Pa	atent Document	citation	information pl	ease click the Add buttor	Add		
				NON-PATEN	NT LITE	RATURE DO	CUMENTS	Remove		
Examiner Initials*  Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									<b>T</b> 5	
	1	HAUNI, Koerber Gruppe, Tobacco Engineer, Flawless Filters, pages 40-41, 1994								
	2	ERNST VOGES, Tobacco Encyclopedia, pages 5, 424 and 425, 1984								
	3	Office Action issued by the Chinese Patent Office on October 24, 2008 concerning parallel Chinese Patent Application No. 200480033598.9								
	4	Office Action issued by the Japanese Patent Office on May 11, 2010 concerning parallel Japanese Patent Application No. 2006-538990.								
If you wisl	h to ac	add additional non-patent literature document citation information please click the Add button Add								
	EXAMINER SIGNATURE									
Examiner Signature Date Considered										
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.										

( Not for submission under 37 CFR 1.99)

Application Number		10579725		
Filing Date		2006-05-17		
First Named Inventor	BENE	EIVENNI, Marco		
Art Unit		1747		
Examiner Name NGUY		YEN, Phu Hoang		
Attorney Docket Number		20545-0506		

Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	1						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached cer	rtification statement.					
X	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	ewith.				
	A certification sta	atement is not submitted herewith.					
		SIGNA					
	A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.						
Sigr	nature	/TIMOTHY J. KLIMA/	Date (YYYY-MM-DD)	2011-12-12			
Nan	ame/Print TIMOTHY J. KLIMA Registration Number 34852						
pub 1.14	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you						

require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

**CERTIFICATION STATEMENT** 

VA 22313-1450.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.